



Electronic Commerce
Client Requirements
Document (v4.0)

Chapter 23
Single Window
Initiative

Addendum 2.0 of
the Integrated
Import Declaration

March 2021
Addendum 2.0

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Revision History

Date Changes Made	Version	Changes Made	Changes Made By
March, 2020	2.0	Initial draft version	CDANS-CIEDS Team

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1 Introduction

1.1 Background

The Single Window Initiative (SWI) was identified as one of 32 joint priorities within the Perimeter Security and Economic Competitiveness Action Plan (hereinafter the “*Action Plan*”) announced on December 7, 2011, to facilitate trade chain partners (TCPs) (i.e. importers and brokers) and align regulatory approaches to protect health, safety and the environment while supporting growth. The Action Plan committed the Canada Border Services Agency (CBSA) and U.S. Customs and Border Protection (USCBP) to provide TCPs with a single window in which they can electronically submit all information required to comply with government import regulations, resulting in a more efficient border process.

Importers/Brokers (IBs) are no longer be required to send duplicated information via different delivery mechanisms to multiple agencies in order to meet regulatory requirements. The Integrated Import Declaration (IID) includes all CBSA required data as well as all data essential for Participating Government Departments and Agencies (PGAs) to make border-related decisions and acts as the foundation for the modernization of government business processes by eliminating the requirement for presentation of paper documents at the border.

1.2 Document Purpose

The main vehicle for communicating the transaction details and usage of the IID has been the IID Electronic Commerce Requirements Document (ECCRD), which has been published in successive versions since the spring of 2013. The latest version of the IID ECCRD is v4.0, published April 2017.

This “Draft” Addendum outlines the proposed changes to be applied to version 4.0 of the SWI ECCRD. The intent of this document is to allow CBSA’s TCPs the opportunity to review and provide feedback on the proposed changes, prior to development and scheduling. Following this exercise, a final addendum publication will be made available, which will also provide testing and implementation timelines.

The complete set of requirements for the IID is now:

- The IID ECCRD v4.0 from April 2017;
- CBSA Bulletin **TCC18-168** (sent on December 13, 2018)
- CBSA Bulletin **TCC19-024** (sent on February 22, 2019)
- CBSA Bulletin **TCC20-094** (sent on July 7, 2020)
- CBSA Bulletin **TCC20-107** (Sent August 25, 2020/Revised September 21, 2020)
- CBSA Bulletin **TCC20-134** (Effective November 1, 2020)
- CBSA Bulletin **TCC21-002** (Sent January 6, 2021)
- The Participants Requirements Document (PRD) Image Functionality v1.5 from September 2017.
- ECCRD Addendum v1.1 (Published October 2019)
- ECCRD Addendum v2.0 (This document)

Due to the legislative timeframes involved for Environment and Climate Change Canada (ECCC), CBSA is prioritizing the changes listed within this addendum. The proposed changes (draft addendum v1.2) which were distributed to SW working group partners for review in October 2020, will be re-evaluated and scheduled at a later date.

The official migration date for the changes listed within this draft addendum will be communicated by CBSA’s Technical Commercial Client Unit. In order to submit an IID which conforms to the changes listed in this addendum, please refer to the UNH requirements in section 2.1 (page 6).

1.3 Contact Information

Policy Support

Program and Policy Management Division, OGD Programs Unit

cbsa.sw_program-programme_du_gu.asfc@cbsa-asfc.gc.ca

Technical Support

Technical Commercial Client Unit (Client Testing/Onboarding)

- To obtain a copy of the IID Test/Certification Package
- To apply, test or certify for the IID
- To request a copy of the SWI IID ECCRD or PRD DIF
- For questions relating to the exchange of data with the CBSA

Email: tccu-ustcc@cbsa-asfc.gc.ca

Fax: 343-291-5482

Mailing address:

Canada Border Services Agency
 355 North River Road, 6th Floor, Tower B
 Ottawa ON K1A 0L8

Technical Commercial Client Unit (Production Technical Support)

Business hours

- Monday to Friday (except holidays)
- 8 a.m. to 5 p.m. (ET)
- Refer to our [Services document](#) to find out more about support provided during and after business hours.

Telephone:

- Canada and the United States: 1-888-957-7224
 - Option 1 for EDI transactions
 - Option 2 for Technical Portal Assistance
- Overseas: 613-946-0762

Email: tccu-ustcc@cbsa-asfc.gc.ca (for non-urgent correspondence only)

2 Updates to the April 2017 ECCRD v4.0

2.1 Appendix A: IID EDIFACT Inbound MIG

UNH - Message Header (page 33):

In order to mitigate the impact of the changes listed in this addendum, CBSA will activate IID Version Code **4.02**. The new Vehicle and Engine Emissions program rules found within this document must be sent using this IID version. Once the new changes become mandated, version 4.01 will be turned off and version 4.02 will become the new default version.

Counter No	Tag	St	MaxOcc	Level	Name	
0010	4	UNH	M	1	0	Message header

UN/EDIFACT Definitions EDIFACT GOVCBR Standard Syntax					CBSA Implementation Business Application Attributes	
Tag	Name	Pos	Place	St	Format	Usage / Remark
UNH						
0062	Message reference number	010		M	an..14	Unique Reference Number assigned by the sender.
S009	Message identifier	020		M	M	
0065	Message type		2	M	an..6	Identification of the message type. GOVCBR Government cross border regulatory message
0052	Message version number		3	M	an..3	Version number of the message type. D Draft version/UN/EDIFACT Directory
0054	Message release number		4	M	an..3	Release number of the message type. 13A Release 2013 - A
0051	Controlling agency		5	M	an..2	Agency controlling the message type. UN UN/CEFACT
0057	Association assigned code		6	C	an..6	
0068	Common access reference	030		C	an..35	IID Version Code Use this field to provide a code indicating the most recent ECCRD version that this transaction was produced in accordance with. 4.01 This IID message is aligned with the SWI ECCRD Addendum v1.1 (published October 2019) 4.02 This IID message is aligned with the SWI ECCRD Addendum v2.0 (This document) 5.01 FUTURE USE Note: If this element is omitted, the current production version will be added to the message by default. Notification of new and/or expiring IID versions will be identified through Commercial Client Bulletins or other CBSA methods of communication.

Remark:

Example:

UNH+1234+GOVCBR:D:13A:UN:IID+4.02'

2.2 Appendix B: Inbound MIG PGA Appendices

The following is a list of proposed changes to PGA data requirements:

2.3 Environment and Climate Change Canada

2.3.1 Environment and Climate Change Canada – Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment

Description of Change: Various regulatory requirements changes and corrections for the On-Road Vehicles, Engines and Equipment Program:

- For Vehicle Class, remove “B” from “Heavy-Duty Class 3B Vehicles with installed engine” as it should be “Heavy-Duty Class 3 Vehicles ”
- For Vehicle Class, remove “with installed engine” from “Heavy-Duty Class 2B Vehicles with installed engine” as it should be “Heavy-Duty Class 2B Vehicles”
- For Vehicle Class, remove “with installed engine” from “Heavy-Duty Vocational Vehicles with installed engine” as it should be “Heavy-Duty Vocational Vehicles”
- For Vehicle Class, remove “with installed engine” from “Heavy-Duty Tractors with installed engine” as it should be “Heavy-Duty Tractors”
- Remove “Incomplete Vehicle”, code EC13, from vehicle class
- Conditional requirement changes to: Make of Vehicle, Engine Manufacturer Name, Model of Vehicle, Model Year of Vehicle, VIN and Vehicle Manufacturer Name
- Addition of Commodity Unit Count data requirement (mandatory regardless of PGA Exception Process code provided)
- Addition of PGA Exception Process code XE1N for non-commercial imports

1) In B3.5.1 Data Element Rules/Conditions, the following row will be **added**:

IID Segment & Tags	Data Element Name	PGA Element Name	Data Element Status	Data Element Rules and Conditions	Qualifier	Codes
SG117 CNT (Q) 6411 (E) 6066	Commodity Unit Count	Commodity Unit Count	M	The number of items being imported must be provided using the unit count “each” (EA) or “number” (NMB). Mandatory for all Vehicle and Engine Emissions Program Exception Process Codes Note: Once non-commercial importers and companies importing for their own use (i.e. not for the purpose of sale) have surpassed the exempted number of units within the calendar year, all data elements within this appendix become mandatory.	EA NMB	

2) In B3.5.1 Data Element Rules/Conditions, the following rows will be updated:

IID Segment & Tags	Data Element Name	PGA Element Name	Data Element Status	Data Element Rules and Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (declaration)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply: ECCC – On-Road Vehicles, Engines and Equipment (XE01).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE1N. Note: Importers are permitted up to 10 non-commercial engine imports, without providing an import declaration, during the calendar year under the On-Road Vehicle and Engine Emission Regulations.</p> <p>If a client has authorization to apply the National Emissions Mark (NEM) by ECCC and the NEM is applied to their goods then they are not required to declare goods upon import. Authorized clients may provide code XE05 in this field in lieu of code XE01.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS) for each regulated commodity line.</p>	22	XE01 XE1N XE05 XE06 XE99
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (brand name)	Make of vehicle	C	The Make of vehicle must be provided if the Affirmation of Statement Compliance is EC04 and a Vehicle Class is selected.	223	
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Engine Manufacturer Name	C	The Engine Manufacturer Name must be provided if the Affirmation of Statement Compliance is EC04 and the Engine Class is EC34.	135	ENG500
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (model name)	Model of vehicle	C	The Model of vehicle must be provided if the Affirmation of Statement Compliance is EC04 and a Vehicle Class is selected.	221	
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (year of production)	Model Year of vehicle	C	The Model Year of vehicle must be provided if the Affirmation of Statement Compliance is EC04 and a Vehicle Class is selected. The model year must be provided in CCYY format.	228	
SG117 GIR (Q) 7405 (E) 7402	Commodity Identity Code (VIN)	Vehicle Identification Number (VIN)	C	The 17 digit Vehicle Identification Number (VIN) must be provided if the Affirmation of Statement Compliance is EC04 and a Vehicle Class is selected.	VV	

SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Vehicle Class	C	<p>The coded class of on-road vehicles must be provided, as applicable.</p> <ul style="list-style-type: none"> • Light-duty vehicles • Light-Duty Trucks • Medium-Duty Passenger vehicles • On-Road Motorcycles • Heavy-Duty Class 2B Vehicles • Heavy-Duty Class 3 Vehicles • Heavy-Duty Vocational Vehicles • Heavy-Duty Tractors • Incomplete Vehicles <p>For additional information on vehicles classes, please refer to the <i>On-Road Vehicle and Engine Emissions Regulations</i>, the <i>Passenger Automobile and Light Truck Greenhouse Gas Emission Regulations</i> and the <i>Heavy-duty Vehicle and Engine Greenhouse Gas Emission Regulations</i>.</p>	EC01	EC05 EC06 EC07 EC08 EC09 EC10 EC11 EC12 EC13
SG119 NAD (Q) 3035 (E) 3036	Manufacturer (name)	Vehicle Manufacturer Name	C	<p>The name of the Manufacturer of the Vehicle may be provided if not provided at SG102 or if different than the Manufacturer Name provided at SG102.</p> <p>The Vehicle Manufacturer Name must be provided if the Affirmation of Statement Compliance is EC04 and a Vehicle Class is selected.</p>	MF	
SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (commodity level)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:</p> <p>ECCC – On-Road Vehicles, Engines and Equipment (XE01).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE1N. Note: Importers are permitted up to 10 non-commercial engine imports, without providing an import declaration, during the calendar year under the On-Road Vehicle and Engine Emission Regulations.</p> <p>If a client has authorization to apply the National Emissions Mark (NEM) by ECCC and the NEM is applied to their goods then they are not required to declare goods upon import. Authorized clients may provide code XE05 in this field in lieu of code XE01.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS) for each regulated commodity line.</p> <p>If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, this code may be provided at the declaration level (SG13.RCS).</p>	22	XE01 XE1N XE05 XE06 XE99

2.3.2 Environment and Climate Change Canada – Vehicle and Engine Emissions Program – Off-Road Compression (Diesel) Engines

Description of change: The final version of the *Off-road Compression-Ignition (Mobile and Stationary) and Large Spark-Ignition Engine Emission Regulations* were published in *Canada Gazette, Part II* on December 23, 2020. The Regulations will repeal and replace the current *Off-Road Compression-Ignition Engine Emission Regulations*. As such, the name of the Regulations should be updated everywhere in the SWI ECCRD documentation. As well, the program title should be renamed from *Off-Road Compression (Diesel) Engines* to *Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines*.

Under the Regulations, the emission standards for mobile compression-ignition engines remain unchanged, although some new flexibilities for certain applications are included. The Regulations also introduce new emission standards for large spark-ignition and stationary compression-ignition engines. Additionally, the Regulations include revised importation declaration reporting requirements for the aforementioned engines as reflected in the following appendix.

Summary of changes:

- Removal of five different engine class codes (EC17, EC18, EC19, EC20, EC21)
- Engine class codes EC15 and EC16 have been renamed
- Addition of four new engine class codes (EC0A, EC0B, EC0C, EC0D)
- Conditional requirement changes to: Machine Manufacturer Name, Make of Machine and Model of Machine
- Various new conditional and mandatory Affirmation requirements
- Engine Identification Number is changed from optional to mandatory
- Name of the Engine Family is changed from optional to mandatory
- Addition of new Product Category Group code (EC04) to collect the coded alternative emission standard conformity statement
- New qualifier ('AT') has been added to SG119.NAD to collect name and location details when compliance codes ME04, ME06, ME10, or ME11 are provided
- Addition of Authority Registered Number (qualifier 'CW') to collect the authorization number assigned to the importer by the Minister (Environment and Climate Change Canada)
- Addition of Commodity Unit Count data requirement (mandatory regardless of PGA Exception Process code provided)
- Addition of PGA Exception Process code XE2N for non-commercial imports

1) In B3.6.1 Data Element Rules/Conditions, the following rows will be **added**:

IID Segment & Tags	Data Element Name	PGA Element Name	Data Element Status	Data Element Rules and Conditions	Qualifier	Codes
SG117 CNT (Q) 6411 (E) 6066	Commodity Unit Count	Commodity Unit Count	M	The number of items being imported must be provided using the unit count "each" (EA) or "number" (NMB). Mandatory for all Vehicle and Engine Emissions Program Exception Process Codes Note: Once non-commercial importers and companies importing for their own use (i.e. not for the purpose of sale) have surpassed the exempted number of units within the calendar year, all data elements within this appendix become mandatory.	EA NMB	
SG117 GIR (Q) 7405 (E) 7402	Commodity Identity Code (authority registered number)	Affirmation of Statement Authorization	C	If the coded affirmation of statement conformity is "The importer is authorized to apply the national emissions mark to the engine and will apply it after importation at the location specified in their application under section 26" (ME04), the authorization number assigned to the importer by the Minister (Environment and Climate Change Canada) must be provided.	CW	

SG117 GIN (Q) 7402, 2 (E) 7402, 3	Commodity Identifier (evaporative family name)	Evaporative Family	C	<p>If the coded class of engines is one of the following, the evaporative family must be provided (if applicable):</p> <ul style="list-style-type: none"> Off-Road Mobile Large Spark-ignition – Loose Off-Road Mobile Large Spark-ignition – Installed <p>Evaporative Family Name” is an alphanumeric code that a manufacturer uses for vehicles or engines when applying for certification with the U.S. Environmental Protection Agency. It includes information about the model year, the manufacturer (unique identifier), the sector, the evaporative family type and the family itself (manufacturer’s self-designated identifier). This code can be found on the emission control information label affixed to the vehicle or engine. A similar code also exists for vehicles or engines that are offered for sale in Canada but not in the United States (e.g. not EPA certified).</p> <p>An engine with a complete fuel system may have multiple families for exhaust and evaporative certification requirements. All pertinent family names must be reported.</p>	EVN																													
SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Alternative Standard of Engine	C	<p>If the coded affirmation of statement conformity is the following (ME02),</p> <ul style="list-style-type: none"> The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder’s installation instructions. a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder’s installation instructions. <p>And, the coded class of the engine is one of the following:</p> <table border="1" data-bbox="740 999 1224 1247"> <thead> <tr> <th>Engine Class name</th> <th>Code</th> </tr> </thead> <tbody> <tr> <td>Off-Road Mobile Compression-ignition – Loose</td> <td>EC15</td> </tr> <tr> <td>Off-Road Mobile Compression-ignition – Installed</td> <td>EC16</td> </tr> <tr> <td>Off-Road Stationary Compression-ignition – Loose</td> <td>EC0A</td> </tr> <tr> <td>Off-Road Stationary Compression-ignition – Installed</td> <td>EC0B</td> </tr> </tbody> </table> <p>Then, one of the following alternative emission standard conformity statements must be provided, as appropriate for this commodity.</p> <table border="1" data-bbox="740 1352 1224 1948"> <thead> <tr> <th>Alternative Standard Conformity Statement</th> <th>Code</th> </tr> </thead> <tbody> <tr> <td>Compression-ignition engine that powers an emergency machine.</td> <td>EC0E</td> </tr> <tr> <td>Compression-ignition engine that is or will be installed in a machine to be used only in a hazardous location where the maximum permissible surface temperature for the machine is 200°C or less.</td> <td>EC0F</td> </tr> <tr> <td>Mobile compression-ignition engine that is to be used exclusively to provide a primary source of electrical power in a remote location.</td> <td>EC0G</td> </tr> <tr> <td>Mobile compression-ignition engine that is to be used exclusively at a North Warning System site established by the North American Aerospace Defense Command.</td> <td>EC0H</td> </tr> <tr> <td>Mobile compression-ignition engine that is used in a transportation refrigeration unit.</td> <td>EC0I</td> </tr> <tr> <td>Stationary compression-ignition engine that is intended to provide electrical power or mechanical work during a power outage.</td> <td>EC0J</td> </tr> <tr> <td>Stationary compression-ignition engine that is a fire-pump engine.</td> <td>EC0K</td> </tr> <tr> <td>Stationary compression-ignition engine that is to be used in a remote location.</td> <td>EC0L</td> </tr> </tbody> </table>	Engine Class name	Code	Off-Road Mobile Compression-ignition – Loose	EC15	Off-Road Mobile Compression-ignition – Installed	EC16	Off-Road Stationary Compression-ignition – Loose	EC0A	Off-Road Stationary Compression-ignition – Installed	EC0B	Alternative Standard Conformity Statement	Code	Compression-ignition engine that powers an emergency machine.	EC0E	Compression-ignition engine that is or will be installed in a machine to be used only in a hazardous location where the maximum permissible surface temperature for the machine is 200°C or less.	EC0F	Mobile compression-ignition engine that is to be used exclusively to provide a primary source of electrical power in a remote location.	EC0G	Mobile compression-ignition engine that is to be used exclusively at a North Warning System site established by the North American Aerospace Defense Command.	EC0H	Mobile compression-ignition engine that is used in a transportation refrigeration unit.	EC0I	Stationary compression-ignition engine that is intended to provide electrical power or mechanical work during a power outage.	EC0J	Stationary compression-ignition engine that is a fire-pump engine.	EC0K	Stationary compression-ignition engine that is to be used in a remote location.	EC0L	EC04	EC0E EC0F EC0G EC0H EC0I EC0J EC0K EC0L
Engine Class name	Code																																	
Off-Road Mobile Compression-ignition – Loose	EC15																																	
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Off-Road Stationary Compression-ignition – Installed	EC0B																																	
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Stationary compression-ignition engine that is to be used in a remote location.	EC0L																																	

SG119 NAD (Q) 3035 (see sub table)	Authorized Importer	Affirmation of Statement Engine Location	C	<p>If the coded affirmation of statement conformity is "The importer is authorized to apply the national emissions mark to the engine and will apply it after importation at the location specified in their application under section 26" (ME04), <u>or</u> if the coded affirmation of statement replacement is "The engine is a replacement and the engine it will replace will only be identified after importation"(ME06), the name and address must be provided of the location where the engine will be kept until</p> <p>a) the national emissions mark is applied to it <u>or</u>, b) the evidence of conformity (replacement engine) is submitted to Environment and Climate Change Canada.</p> <p>By providing this information, the importer attests that they have a description of the measures documented to ensure that the engine does not leave the importer's possession or control before the applicable aforementioned condition is met.</p> <table border="1" data-bbox="740 600 1227 898"> <thead> <tr> <th>Field</th> <th>Location</th> <th>Format</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>Name</td> <td>C080.3036.4</td> <td>an..70</td> <td>Business/personal name Up to 3 lines</td> </tr> <tr> <td>Street</td> <td>C059.3042.5</td> <td>an..35</td> <td>Up to 3 lines</td> </tr> <tr> <td>City</td> <td>3164.6</td> <td>an..35</td> <td></td> </tr> <tr> <td>Province</td> <td>C819.3229.9</td> <td>an..6</td> <td>Use 2 character codes</td> </tr> <tr> <td>Postal Code</td> <td>3251.8</td> <td>an..9</td> <td></td> </tr> <tr> <td>Country Code</td> <td>3207.9</td> <td>an..2</td> <td>ISO 3166 2 character code</td> </tr> </tbody> </table>	Field	Location	Format	Description	Name	C080.3036.4	an..70	Business/personal name Up to 3 lines	Street	C059.3042.5	an..35	Up to 3 lines	City	3164.6	an..35		Province	C819.3229.9	an..6	Use 2 character codes	Postal Code	3251.8	an..9		Country Code	3207.9	an..2	ISO 3166 2 character code	AT	
Field	Location	Format	Description																															
Name	C080.3036.4	an..70	Business/personal name Up to 3 lines																															
Street	C059.3042.5	an..35	Up to 3 lines																															
City	3164.6	an..35																																
Province	C819.3229.9	an..6	Use 2 character codes																															
Postal Code	3251.8	an..9																																
Country Code	3207.9	an..2	ISO 3166 2 character code																															
SG119 NAD (Q) 3035 (see sub table)	Authorized Importer	Affirmation of Statement Evidence Location	C	<p>If the coded affirmation of statement retention is "the required evidence of conformity is retained at a place of business of the importer other than what is provided in SG7.NAD (i.e. the importer address data element fields)" (ME10), the name and address where evidence of conformity is retained must be provided.</p> <p>If the coded affirmation of statement retention is "The required evidence of conformity is retained at a location other than a place of business of the importer" (ME11), the name and address where evidence of conformity is retained must be provided.</p> <table border="1" data-bbox="740 1234 1227 1533"> <thead> <tr> <th>Field</th> <th>Location</th> <th>Format</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>Name</td> <td>C080.3036.4</td> <td>an..70</td> <td>Business/personal name Up to 3 lines</td> </tr> <tr> <td>Street</td> <td>C059.3042.5</td> <td>an..35</td> <td>Up to 3 lines</td> </tr> <tr> <td>City</td> <td>3164.6</td> <td>an..35</td> <td></td> </tr> <tr> <td>Province</td> <td>C819.3229.9</td> <td>an..6</td> <td>Use 2 character codes</td> </tr> <tr> <td>Postal Code</td> <td>3251.8</td> <td>an..9</td> <td></td> </tr> <tr> <td>Country Code</td> <td>3207.9</td> <td>an..2</td> <td>ISO 3166 2 character code</td> </tr> </tbody> </table>	Field	Location	Format	Description	Name	C080.3036.4	an..70	Business/personal name Up to 3 lines	Street	C059.3042.5	an..35	Up to 3 lines	City	3164.6	an..35		Province	C819.3229.9	an..6	Use 2 character codes	Postal Code	3251.8	an..9		Country Code	3207.9	an..2	ISO 3166 2 character code	AT	
Field	Location	Format	Description																															
Name	C080.3036.4	an..70	Business/personal name Up to 3 lines																															
Street	C059.3042.5	an..35	Up to 3 lines																															
City	3164.6	an..35																																
Province	C819.3229.9	an..6	Use 2 character codes																															
Postal Code	3251.8	an..9																																
Country Code	3207.9	an..2	ISO 3166 2 character code																															
SG120 CTA (Q) 3139 (E) 3412	Authorized Importer Contact Type	Evidence of Conformity Contact Name	C	<p>If the coded affirmation of statement retention is "The required evidence of conformity is retained at a location other than a place of business of the importer" (ME11), the name of a contact person at that location must be provided.</p>	IC																													
SG120 COM (Q) 3155 (E) 3148	Authorized Importer Contact Method	Evidence of Conformity Contact Telephone Number	C	<p>If the coded affirmation of statement retention is "The required evidence of conformity is retained at a location other than a place of business of the importer" (ME11), the telephone number of a contact person at that location must be provided.</p>	TE																													

SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Conformity	M	<p>One of the following conformity statements must be provided as appropriate for this commodity.</p> <ul style="list-style-type: none"> • The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> ○ an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. ○ a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. • The engine will have its manufacture completed in Canada by another means in accordance with the instructions provided by the manufacturer and will when completed conform with the requirements prescribed under the Regulations. • The importer is authorized to apply the national emissions mark to the engine and will apply it after importation at the location specified in their application under section 26. 	22	ME02 ME03 ME04
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Replacement	C	<p>If the coded affirmation of statement compliance is "Replacement Engines" (ME01), one of the following replacement engine statements must be provided, as appropriate for this commodity.</p> <ul style="list-style-type: none"> • The engine is a replacement and the engine it will replace has been identified. • The engine is a replacement and the engine it will replace will only be identified after importation. 	22	ME05 ME06
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Evidence	C	<p>If the coded affirmation of statement conformity is the following (ME02),</p> <ul style="list-style-type: none"> • The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> ○ an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. ○ a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. <p>Then, one of the following evidence statements must be provided as appropriate for this commodity:</p> <ul style="list-style-type: none"> • Importer is able to provide the required evidence of conformity. • Importer has provided the required evidence of conformity to Environment and Climate Change Canada. <p>Note: If the Affirmation of Statement Conformity is ME02, this element is considered mandatory unless the coded affirmation of statement compliance is "Replacement Engines" (ME01) and the coded affirmation of statement replacement is "The engine is a replacement and the engine it will replace will only be identified after importation" (ME06).</p>	22	ME07 ME08

SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Retention	C	<p>If the coded affirmation of statement conformity is the following (ME02),</p> <ul style="list-style-type: none"> The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. <p>Then, one of the following retention statements must be provided, as appropriate for this commodity:</p> <ul style="list-style-type: none"> The required evidence of conformity is retained at the place of business of the importer provided in SG7.NAD (i.e. the importer address data element fields). The required evidence of conformity is retained at a place of business of the importer other than what is provided SG7.NAD (i.e. the importer address data element fields). The required evidence of conformity is retained at a location other than a place of business of the importer. <p>Note: If the Affirmation of Statement Conformity is ME02, this element is considered mandatory unless the coded affirmation of statement compliance is "Replacement Engines" (ME01) <u>and</u> the coded affirmation of statement replacement is "The engine is a replacement and the engine it will replace will only be identified after importation" (ME06).</p>	22	ME09 ME10 ME11
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2) In B3.6.1 Data Element Rules/Conditions, the following rows will be **updated**:

IID Segment & Tags	Data Element Name	PGA Element Name	Data Element Status	Data Element Rules and Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (declaration)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:</p> <p>ECCC–Off-Road Mobile and Stationary Compression-Ignition (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines (XE02).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE2N. Note: Importers are permitted up to 9 non-commercial imports during the calendar year under the Off-road Compression-Ignition (Mobile and Stationary) and Large Spark-Ignition Engine Emission Regulations.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS) for each regulated commodity line.</p>	22	XE02 XE2N XE06 XE99

SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Make of engine	M	The make of the engine must be provided. Make is the brand of the engine, this may be the same as the manufacturer or a different brand.	135	ENG501								
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (brand name)	Make of machine	C	If the coded class of the engine is one of the following, the make of machine must be provided: <table border="1"> <thead> <tr> <th>Engine Class</th> <th>Code</th> </tr> </thead> <tbody> <tr> <td>Off-Road Mobile Compression-ignition – Installed</td> <td>EC16</td> </tr> <tr> <td>Off-Road Stationary Compression-ignition – Installed</td> <td>EC0B</td> </tr> <tr> <td>Off-Road Mobile Large Spark-ignition – Installed</td> <td>EC0D</td> </tr> </tbody> </table>	Engine Class	Code	Off-Road Mobile Compression-ignition – Installed	EC16	Off-Road Stationary Compression-ignition – Installed	EC0B	Off-Road Mobile Large Spark-ignition – Installed	EC0D	223	
Engine Class	Code													
Off-Road Mobile Compression-ignition – Installed	EC16													
Off-Road Stationary Compression-ignition – Installed	EC0B													
Off-Road Mobile Large Spark-ignition – Installed	EC0D													
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (model name)	Model of machine	C	If the coded class of the engine is one of the following, the model name of the machine must be provided <table border="1"> <thead> <tr> <th>Engine Class</th> <th>Code</th> </tr> </thead> <tbody> <tr> <td>Off-Road Mobile Compression-ignition – Installed</td> <td>EC16</td> </tr> <tr> <td>Off-Road Stationary Compression-ignition – Installed</td> <td>EC0B</td> </tr> <tr> <td>Off-Road Mobile Large Spark-ignition – Installed</td> <td>EC0D</td> </tr> </tbody> </table>	Engine Class	Code	Off-Road Mobile Compression-ignition – Installed	EC16	Off-Road Stationary Compression-ignition – Installed	EC0B	Off-Road Mobile Large Spark-ignition – Installed	EC0D	221	
Engine Class	Code													
Off-Road Mobile Compression-ignition – Installed	EC16													
Off-Road Stationary Compression-ignition – Installed	EC0B													
Off-Road Mobile Large Spark-ignition – Installed	EC0D													
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Model of engine	M	The model name of the engine must be provided. Model is the commercial name given by the manufacturer to identify the product.	135	ENG502								
SG117 GIR (Q) 7405 (E) 7402	Commodity Identity Code (engine identification number)	Engine Identification Number	M	The engine identification number must be provided.	EE									
SG117 GIN (Q) 7402, 2 (E) 7402, 3	Commodity Identifier (engine family name)	Name of the Engine Family	M	The name of the engine family must be provided. "Family Name" means a 12 digit alphanumeric code that a manufacturer uses for engines when applying for certification with the U.S. Environmental Protection Agency. It includes information about the model year, the manufacturer (unique identifier), the sector, the engine displacement and the family itself (manufacturer's self-designated identifier). This code can be found on the emission control information label affixed to the engine or on the EPA Certificate of Conformity. A similar code also exists for engines that are offered for sale in Canada but not in the United States (e.g. not EPA certified). An engine with a complete fuel system may have multiple families for exhaust and evaporative certification requirements. All pertinent family names must be reported.	EFN									

SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Engine Class	M	<p>The coded class of the engine must be provided, as applicable.</p> <ul style="list-style-type: none"> • Off-Road Mobile Compression-ignition – Loose • Off-Road Mobile Compression-ignition – Installed • Off-Road Compression-ignition – Replacement • Off-Road Compression-ignition – Transportation refrigeration unit • Off-Road Compression-ignition – Transition Loose • Off-Road Compression-ignition – Transition Installed • Incomplete Engine • Off-Road Stationary Compression-ignition – Loose • Off-Road Stationary Compression-ignition – Installed • Off-Road Mobile Large Spark-ignition – Loose • Off-Road Mobile Large Spark-ignition – Installed <p>For additional information on engine classes, please refer to the Off-road Compression-Ignition (Mobile and Stationary) and Large Spark-Ignition Engine Emission Regulations.</p>	EC02	EC15 EC16 EC47 EC48 EC49 EC20 EC21 EC0A EC0B EC0C EC0D								
SG119 NAD (Q) 3035 (E) 3036	Manufacturer	Machine Manufacturer Name	C	<p>If the coded class of the engine is one of the following, the name of the manufacturer of the machine must be provided if not provided at SG102 or if different than the Manufacturer Name provided at SG102:</p> <table border="1" data-bbox="764 835 1208 1045"> <thead> <tr> <th>Engine Class</th> <th>Code</th> </tr> </thead> <tbody> <tr> <td>Off-Road Mobile Compression-ignition – Installed</td> <td>EC16</td> </tr> <tr> <td>Off-Road Stationary Compression-ignition – Installed</td> <td>EC0B</td> </tr> <tr> <td>Off-Road Mobile Large Spark-ignition – Installed</td> <td>EC0D</td> </tr> </tbody> </table> <ul style="list-style-type: none"> • Off-Road Compression-ignition – Certified Installed • Off-Road Compression-ignition – Transition Installed 	Engine Class	Code	Off-Road Mobile Compression-ignition – Installed	EC16	Off-Road Stationary Compression-ignition – Installed	EC0B	Off-Road Mobile Large Spark-ignition – Installed	EC0D	MF	
Engine Class	Code													
Off-Road Mobile Compression-ignition – Installed	EC16													
Off-Road Stationary Compression-ignition – Installed	EC0B													
Off-Road Mobile Large Spark-ignition – Installed	EC0D													
SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (commodity level)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:</p> <p>ECCC–Off-Road Mobile and Stationary Compression-Ignition (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines (XE02).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE2N. Note: Importers are permitted up to 9 non-commercial imports during the calendar year under the Off-road Compression-Ignition (Mobile and Stationary) and Large Spark-Ignition Engine Emission Regulations.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS) for each regulated commodity line. If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, this code may be provided at the declaration level (SG13.RCS).</p>	22	XE02 XE2N XE06 XE99								

SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Compliance	C	<p>If the coded affirmation of statement conformity is the following (ME02),</p> <ul style="list-style-type: none"> • The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> ○ an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. ○ a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. <p>Then, one of the following compliance statements must be provided, as appropriate for this commodity.</p> <ul style="list-style-type: none"> • National Emissions Mark • EPA Certified and Sold Concurrently in Canada and the U.S. • Transition and Sold Concurrently in Canada and the U.S.. • Canada Unique Engines • Incomplete Engines • Replacement Engines <p>The Affirmation of Statement Compliance of Incomplete Engines, code EC09, must be provided if the Engine Class is "Incomplete Engine".</p> <p>Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.</p>	22	EC05 EC06 EC07 EC08 EC09 ME01
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2.3.3 Environment and Climate Change Canada – Vehicle and Engine Emissions Program – Off-Road Small Spark-Ignition (Gasoline) Engines

Description of change: Various regulatory requirements changes for the Off-Road Small Spark-Ignition (Gasoline) Engines program:

- Removal of “Incomplete Engine”, code EC21, from engine class
- Addition of Commodity Unit Count data requirement (mandatory regardless of PGA Exception Process code provided)
- Addition of PGA Exception Process code XE3N for non-commercial imports

1) In B3.7.1 Data Element Rules/Conditions, the following row will be **added**:

IID Segment & Tags	Data Element Name	PGA Element Name	Data Element Status	Data Element Rules and Conditions	Qualifier	Codes
SG117 CNT (Q) 6411 (E) 6066	Commodity Unit Count	Commodity Unit Count	M	<p>The number of items being imported must be provided using the unit count “each” (EA) or “number” (NMB).</p> <p>Mandatory for all Vehicle and Engine Emissions Program Exception Process Codes</p> <p>Note: Once non-commercial importers and companies importing for their own use (i.e. not for the purpose of sale) have surpassed the exempted number of units within the calendar year, all data elements within this appendix become mandatory.</p>	EA NMB	

2) In B3.7.1 Data Element Rules/Conditions, the following rows will be **updated**:

IID Segment & Tags	Data Element Name	PGA Element Name	Data Element Status	Data Element Rules and Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (declaration)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program’s regulations apply:</p> <p>ECCC – Off-Road Small Spark-Ignition (Gasoline) Engines (XE03).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE3N. Note: Importers can import an unlimited number of non-commercial engine imports during a calendar year. However, importers that import 50 (or more) are required to provide an import declaration and can no longer utilize this exemption.</p> <p>For the Off-road Small Spark-ignition (Gasoline) Engines Program only, if the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client will report their imports to ECCC on or before February 1st of the calendar year following the calendar year during which the importation occurred, they must provide code XE07 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS) for each regulated commodity line.</p>	22	XE03 XE3N XE07 XE99

SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Engine Class	M	<p>The coded class of the engine must be provided, as applicable.</p> <ul style="list-style-type: none"> • Off-Road Spark-ignition – Loose • Off-Road Spark-ignition – Installed • Off-Road Spark-ignition – Replacement • Incomplete Engine <p>For additional information on engine classes, please refer to the <i>Off-Road Small Spark-Ignition Engine Emission Regulations</i>.</p>	EC02	EC22 EC23 EC24 EC24
SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (commodity level)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:</p> <p>ECCC – Off-Road Small Spark-Ignition (Gasoline) Engines (XE03).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE3N. Note: Importers can import an unlimited number of non-commercial engine imports during a calendar year. However, importers that import 50 (or more) are required to provide an import declaration and can no longer utilize this exemption.</p> <p>For the Off-road Small Spark-ignition (Gasoline) Engines Program only, if the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client will report their imports to ECCC on or before February 1st of the calendar year following the calendar year during which the importation occurred, they must provide code XE07 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS) for each regulated commodity line. If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, this code may be provided at the declaration level (SG13.RCS).</p>	22	XE03 XE3N XE07 XE99

2.3.4 Environment and Climate Change Canada – Vehicle and Engine Emissions Program – Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles

Description of change: Various regulatory requirements changes for the Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles program:

- Addition of Commodity Unit Count data requirement (mandatory regardless of PGA Exception Process code provided)
- Addition of PGA Exception Process code XE4N for non-commercial imports

1) In B3.8.1 Data Element Rules/Conditions, the following row will be **added**:

IID Segment & Tags	Data Element Name	PGA Element Name	Data Element Status	Data Element Rules and Conditions	Qualifier	Codes
SG117 CNT (Q) 6411 (E) 6066	Commodity Unit Count	Commodity Unit Count	M	<p>The number of items being imported must be provided using the unit count “each” (EA) or “number” (NMB).</p> <p>Mandatory for all Vehicle and Engine Emissions Program Exception Process Codes</p> <p>Note: Once non-commercial importers and companies importing for their own use (i.e. not for the purpose of sale) have surpassed the exempted number of units within the calendar year, all data elements within this appendix become mandatory.</p>	EA NMB	

2) In B3.8.1 Data Element Rules/Conditions, the following row will be **updated**:

IID Segment & Tags	Data Element Name	PGA Element Name	Data Element Status	Data Element Rules and Conditions	Qualifier	Codes
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (declaration)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program’s regulations apply:</p> <p>ECCC - Marine Spark-Ignition Engines, Vessels and Off-Road Recreational Vehicles (XE04).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE4N. Note: Importers are permitted up to 10 non-commercial of any combination of engines, vessels and vehicle imports, without providing an import declaration, during the calendar year under the Marine Spark-Ignition Engine, Vessel and Off-road Recreational Vehicle Emission Regulations.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS) for each regulated commodity line.</p>	22	XE04 XE4N XE06 XE99

SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (commodity level)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:</p> <p>ECCC - Marine Spark-Ignition Engines, Vessels and Off-Road Recreational Vehicles (XE04).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE4N. Note: Importers are permitted up to 10 non-commercial of any combination of engines, vessels and vehicle imports, without providing an import declaration, during the calendar year under the Marine Spark-Ignition Engine, Vessel and Off-road Recreational Vehicle Emission Regulations.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS) for each regulated commodity line. If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, this code may be provided at the declaration level (SG13.RCS).</p>	22	XE04 XE4N XE06 XE99
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3 Appendix G: Code Tables

3.1 Appendix G14 (PGA Exception Process Qualifier)

As per sections 2.3.1, 2.3.2, 2.3.3 and 2.3.4 of this addendum, the following PGA Exception Process Codes will be **added** in Appendix G14:

Code Tag	Code Description	To be Used by / Notes
XE1N	Non-Commercial Import exception for the On-Road Vehicles, Engines and Equipment Program	For use by Vehicle and Engine Emissions Program - On-Road Vehicles, Engines and Equipment
XE2N	Non-Commercial Import exception for the Off-Road Compression (Diesel) Engines Program	For use by Vehicle and Engine Emissions Program - Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
XE3N	Non-Commercial Import exception for the Off-Road Small Spark-Ignition (Gasoline) Engines Program	For use by Vehicle and Engine Emissions Program - Off-Road Small Spark-Ignition (Gasoline) Engines
XE4N	Non-Commercial Import exception for the Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles Program	For use by Vehicle and Engine Emissions Program - Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles

3.2 Appendix G21 - Product Category Group

As per section 2.3.2 of this addendum, the following Product Category Group code will be **added**:

Code Tag	Code Description	To be Used by / Notes
EC04	ECCC - Alternative Standard of Engine	Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines

3.3 Appendix G22 - Product Category

- As per sections 2.3.1, 2.3.2 and 2.3.3 of this addendum, the following Product Category codes will be **removed**:

Code Tag	Code Description	To be Used by / Notes
EC13	ECCC - Incomplete Vehicles	ECCC - Vehicle and Engine Emissions Program - On-Road Vehicles, Engines and Equipment
EC17	ECCC - Off-Road Compression-ignition - Replacement	ECCC - Vehicle and Engine Emissions Program - Off-Road Compression (Diesel) Engines
EC18	ECCC - Off-Road Compression-ignition - Transportation refrigeration unit	ECCC - Vehicle and Engine Emissions Program - Off-Road Compression (Diesel) Engines
EC19	ECCC - Off-Road Compression-ignition - Transition Loose	ECCC - Vehicle and Engine Emissions Program - Off-Road Compression (Diesel) Engines
EC20	ECCC - Off-Road Compression-ignition - Transition Installed	ECCC - Vehicle and Engine Emissions Program - Off-Road Compression (Diesel) Engines
EC21	ECCC - Incomplete Engine	ECCC - Vehicle and Engine Emissions Programs: Off-Road Compression (Diesel) Engines Off-Road Small Spark-Ignition (Gasoline) Engines

2) As per sections 2.3.1 and 2.3.2 of this addendum, the following Product Category codes will be **renamed**:

Code Tag	Code Description	To be Used by / Notes
EC09	Heavy-Duty Class 2B Vehicles	ECCC - Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment
EC10	Heavy-Duty Class 3 Vehicles	ECCC - Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment
EC11	Heavy-Duty Vocational Vehicles	ECCC - Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment
EC12	Heavy-Duty Tractors	ECCC - Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment
EC15	Off-Road Mobile Compression-ignition – Loose	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC16	Off-Road Mobile Compression-ignition – Installed	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines

3) As per section 2.3.2 of this addendum, the following Product Category codes will be **added**:

Code Tag	Code Description	To be Used by / Notes
EC0A	Off-Road Stationary Compression-ignition – Loose	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC0B	Off-Road Stationary Compression-ignition – Installed	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC0C	Off-Road Mobile Large Spark-ignition – Loose	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC0D	Off-Road Mobile Large Spark-ignition – Installed	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC0E	Compression-ignition engine that powers an emergency machine.	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC0F	Compression-ignition engine that is or will be installed in a machine to be used only in a hazardous location where the maximum permissible surface temperature for the machine is 200°C or less.	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC0G	Mobile compression-ignition engine that is to be used exclusively to provide a primary source of electrical power in a remote location.	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC0H	Mobile compression-ignition engine that is to be used exclusively at a North Warning System site established by the North American Aerospace Defense Command.	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC0I	Mobile compression-ignition engine that is used in a transportation refrigeration unit.	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines

EC0J	Stationary compression-ignition engine that is intended to provide electrical power or mechanical work during a power outage.	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC0K	Stationary compression-ignition engine that is a fire-pump engine.	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
EC0L	Stationary compression-ignition engine that is to be used in a remote location.	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines

3.2 Appendix G23 (PGA Compliance Statement)

- 1) As per section 2.3.2 of this addendum, the following PGA Compliance Statement Code will be **Removed**:

Code Tag	Code Description	Notes
EC09	The company states that 1) it has a statement from the manufacturer of the engine that the engine will, when completed in accordance with instructions provided by the manufacturer, conform to the standards prescribed under the <i>Off-Road Compression-Ignition Engine Emission Regulations</i> ; and 2) the engine will be completed in accordance with those instructions.	ECCC – Vehicle and Engine Emissions Program – Off-Road Compression (Diesel) Engines - <u>Incomplete Engines</u>

- 2) As per section 2.3.2 of this addendum, the following PGA Compliance Statement Codes will be **added** to Appendix G23 (p450-454) of the SWI ECCRD:

Code Tag	Code Description	Notes
ME01	The company states that the engine is a replacement engine , subject to subsection 25(4), and was manufactured exclusively to replace the engine of a machine that is located <u>in Canada</u> for which no engine currently exists that <ul style="list-style-type: none"> a) conforms to the applicable standards referred to in sections 10 to 23; b) has the physical or performance characteristics necessary for the operation of the machine; <u>and</u> is manufactured by the manufacturer of the original engine or the replacement engine.	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
ME02	The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> o an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. o a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. 	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines

ME03	The engine will have its manufacture completed in Canada by another means in accordance with the instructions provided by the manufacturer and will when completed conform with the requirements prescribed under the Regulations.	ECCC - Vehicle and Engine Emissions Program - Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
ME04	The importer is authorized to apply the national emissions mark to the engine and will apply it after importation at the location specified in their application under section 26.	ECCC - Vehicle and Engine Emissions Program - Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
ME05	The engine is a replacement and the engine it will replace has been identified.	ECCC - Vehicle and Engine Emissions Program - Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
ME06	The engine is a replacement and the engine it will replace will only be identified after importation.	ECCC - Vehicle and Engine Emissions Program - Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
ME07	Importer is able to provide the required evidence of conformity.	ECCC - Vehicle and Engine Emissions Program - Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
ME08	Importer has provided the required evidence of conformity to Environment and Climate Change Canada.	ECCC - Vehicle and Engine Emissions Program - Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
ME09	The required evidence of conformity is retained at the place of business of the importer provided in SG7.NAD (i.e. the importer address data element fields). <u>Importer address data element fields</u> <ul style="list-style-type: none"> - Importername, - Importerstreet, - Importercity, - Importerprovincestate, - Importercountry, - Importerpostalcode 	ECCC - Vehicle and Engine Emissions Program - Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines

ME10	<p>The required evidence of conformity is retained at a place of business of the importer other than what is provided in SG7.NAD (i.e. the importer address data element fields).</p> <p><u>Importer address data element fields</u></p> <ul style="list-style-type: none"> - Importername, - Importerstreet, - Importercity, - Importerprovincestate, - Importercountry, - Importerpostalcode 	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
ME11	<p>The required evidence of conformity is retained at a location other than a place of business of the importer.</p>	ECCC - Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines

3.3 Appendix G23 (PGA Exception Process Qualifier)

As per sections 2.3.1, 2.3.2, 2.3.3 and 2.3.4 of this addendum, the following PGA Exception Process Qualifier Codes will be **added** to Appendix G23 (p450-454) of the SWI ECCRD:

PGA Exception Process Qualifier Codes (Commodity Level SG125.RCS)		
Code Tag	Code Description	Notes
XE1N	Non-Commercial Import exception for the On-Road Vehicles, Engines and Equipment Program	For use by Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment
XE2N	Non-Commercial Import exception for the Off-Road Compression (Diesel) Engines Program	For use by Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines
XE3N	Non-Commercial Import exception for the Off-Road Small Spark-Ignition (Gasoline) Engines Program	For use by Vehicle and Engine Emissions Program – Off-Road Small Spark-Ignition (Gasoline) Engines
XE4N	Non-Commercial Import exception for the Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles Program	For use by Vehicle and Engine Emissions Program – Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles

4 Revised Vehicle and Engine Emissions Program Appendices

Since the publication of ECCRD version 4.0 (April 2017), there have been several changes made to ECCC’s Vehicle and Engine Emissions Programs. To reduce the need to reference multiple documents, the following appendices include all changes made since the April 2017 ECCRD publication, including all changes listed within this addendum.

B3.4 Vehicle and Engine Emissions Program

B3.4.1 Program Description

Legislative references

Canada has introduced several regulations under the authority of Part 7 (Controlling Pollution and Managing Wastes), Division 5 (Vehicle, Engine and Equipment Emissions) of the *Canadian Environmental Protection Act, 1999* (CEPA) to help reduce air pollutant and greenhouse gas emissions from vehicles and engines.

- *On-Road Vehicle and Engine Emissions Regulations*
- *Passenger Automobile and Light Truck Greenhouse Gas Emission Regulations*
- *Heavy-duty Vehicle and Engine Greenhouse Gas Emission Regulations*
- *Off-road Compression-Ignition (Mobile and Stationary) and Large Spark-Ignition Engine Emission Regulations*
- *Off-Road Small Spark-Ignition Engine Emission Regulations*
- *Marine Spark-Ignition Engine, Vessel and Off-Road Recreational Vehicle Emission Regulations*

Please refer to the following Tariff site for any changes to HS Codes

<http://www.cbsa-asfc.gc.ca/trade-commerce/tariff-tarif/>

Summarized list of the Applicable HS Codes for the Vehicle and Engine Emissions Program.

<https://www.cbsa-asfc.gc.ca/prog/sw-gu/regcom-marreg/eccc-eccc-eng.html>

B3.5 Vehicle and Engine Emissions Program – On-Road Vehicles, Engines and Equipment

B3.5.1 Data Element Rules/Conditions

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG8 CTA (Q) 3139 (E) 3412	Importer Contact Name	Importer Contact Name	M	The name of a person knowledgeable about the specific shipment of goods must be provided.	IC	
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (phone)	Importer’s Telephone Number	M	The telephone number of a person knowledgeable about the specific shipment of goods must be provided.	TE	

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (email)	Importer's E-mail Address	M	The e-mail address of a person knowledgeable about the specific shipment of goods must be provided.	EM	
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (fax)	Importer's Fax Number	O	The fax number of a person knowledgeable about the specific shipment of goods.	FX	
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (declaration)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:</p> <p>EC – On-Road Vehicles, Engines and Equipment. (XE01)</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE1N. Note: Importers are permitted up to 10 non-commercial engine imports, without providing an import declaration, during the calendar year under the <i>On-Road Vehicle and Engine Emission Regulations</i>.</p> <p>If a client has authorization to apply the National Emissions Mark (NEM) by ECCC and the NEM is applied to their goods then they are not required to declare goods upon import. Authorized clients may provide code XE05 in this field in lieu of code XE01.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS) for each regulated commodity line.</p>	22	XE01 XE1N XE05 XE06 XE99
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (brand name)	Make of vehicle	C	The Make of vehicle must be provided if the Affirmation of Statement Compliance is EC04 and a Vehicle Class is selected.	223	
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Engine Manufacturer Name	C	The Engine Manufacturer Name must be provided if the Affirmation of Statement Compliance is EC04 and the Engine Class is EC34.	135	ENG500

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Make of engine	O	The make of the engine can be provided.	135	ENG501
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (model name)	Model of vehicle	C	The Model of vehicle must be provided if the Affirmation of Statement Compliance is EC04 and a Vehicle Class is selected.	221	
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Model of engine	O	The model name of the engine can be provided.	135	ENG502
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (year of production)	Model Year of vehicle	C	The Model Year of vehicle must be provided if the Affirmation of Statement Compliance is EC04 and a Vehicle Class is selected. The model year must be provided in CCYY format	228	
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Model Year of Engine	O	The model year of the engine can be provided in CCYY format.	135	ENG503
SG117 GIR (Q) 7405 (E) 7402	Commodity Identity Code (VIN)	Vehicle Identification Number (VIN)	C	The 17 digit Vehicle Identification Number (VIN) must be provided if the Affirmation of Statement Compliance is EC04 and a Vehicle Class is selected.	VV	
SG117 GIR (Q) 7405 (E) 7402	Commodity Identity Code (engine identification number)	Engine Identification Number	O	The engine identification number can be provided.	EE	
SG117 GIN (Q) 7402, 2 (E) 7402, 3	Commodity Identifier (engine family name)	Name of the engine Family	O	The name of the engine family can be provided. "Engine Family Name" is an alphanumerical code that a manufacturer uses for engines when applying for certification with the U.S. Environmental Protection Agency. It includes information about the model year, the manufacturer (unique identifier), the sector, the engine displacement and the family itself (manufacturer's self-designated identifier). This code can be found on the emission control information label affixed to the engine. A similar code also exists for engines that are offered for sale in Canada but not in the United States (e.g. not EPA certified).	EFN	

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG117 GIN (Q) 7402.2 (E) 7402.3	Commodity Identifier (vehicle test group)	Test Group Name	O	<p>The name of the vehicle test group can be provided.</p> <p>“Vehicle Test Group” is an alphanumeric code that a manufacturer uses for vehicles when applying for certification with the U.S. Environmental Protection Agency. It includes information about the model year, the manufacturer (unique identifier), the sector, the engine displacement and the family itself (manufacturer’s self-designated identifier). This code can be found on the emission control information label affixed to the vehicle. A similar code also exists for vehicles that are offered for sale in Canada but not in the United States (e.g. not EPA certified).</p>	VTG	
SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Vehicle Class	C	<p>The coded class of on-road vehicles must be provided, as applicable.</p> <ul style="list-style-type: none"> • Light-duty vehicles • Light-Duty Trucks • Medium-Duty Passenger vehicles • On-Road Motorcycles • Heavy-Duty Class 2B Vehicles • Heavy-Duty Class 3 Vehicles • Heavy-Duty Vocational Vehicles • Heavy-Duty Tractors <p>For additional information on vehicles classes, please refer to the <i>On-Road Vehicle and Engine Emissions Regulations</i>, the <i>Passenger Automobile and Light Truck Greenhouse Gas Emission Regulations</i> and the <i>Heavy-duty Vehicle and Engine Greenhouse Gas Emission Regulations</i>.</p>	EC01	EC05 EC06 EC07 EC08 EC09 EC10 EC11 EC12
SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Engine Class	C	<p>The coded class of the on-road engines must be provided if the commodity being imported is a loose engine of a Heavy-Duty Vehicle.</p> <ul style="list-style-type: none"> • Heavy Duty Engine – Loose • Heavy Duty Engine – Incomplete <p>For additional information on engine classes, please refer to the <i>On-Road Vehicle and Engine Emissions Regulations</i> and the <i>Heavy-duty Vehicle and Engine Greenhouse Gas Emission Regulations</i>.</p>	EC02	EC14 EC34
SG117 CNT (Q) 6411 (E) 6066	Commodity Unit Count	Commodity Unit Count	M	<p>The number of items being imported must be provided using the unit count “each” (EA) or “number” (NMB).</p> <p>Mandatory for all Vehicle and Engine Emissions Program Exception Process Codes.</p> <p>Note: Once non-commercial importers and companies importing for their own use (i.e. not for the purpose of sale) have surpassed the exempted number of units within the calendar year, all data elements within this appendix become mandatory.</p>	EA NMB	

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG119 NAD (Q) 3035 (E) 3036	Manufacturer (name)	Vehicle Manufacturer Name	C	<p>The name of the Manufacturer of the Vehicle may be provided if not provided at SG102 or if different than the Manufacturer Name provided at SG102.</p> <p>The Vehicle Manufacturer Name must be provided if the Affirmation of Statement Compliance is EC04 and a Vehicle Class is selected.</p>	MF	
SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (commodity level)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:</p> <p>ECCC – On-Road Vehicles, Engines and Equipment (XE01).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE1N. Note: Importers are permitted up to 10 non-commercial engine imports, without providing an import declaration, during the calendar year under the <i>On-Road Vehicle and Engine Emission Regulations</i>.</p> <p>If a client has authorization to apply the National Emissions Mark (NEM) by ECCC and the NEM is applied to their goods then they are not required to declare goods upon import. Authorized clients may provide code XE05 in this field in lieu of code XE01.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS) for each regulated commodity line. If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, this code may be provided at the declaration level (SG13.RCS).</p>	22	XE01 XE1N XE05 XE06 XE99
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Compliance	M	<p>At least one of the following compliance statements must be provided, as appropriate for this commodity.</p> <ul style="list-style-type: none"> • National Emissions Mark • EPA Certified or Considered Equivalent to EPA Certified • Canada Unique Vehicles, Engines • Incomplete Vehicles or Engines <p>The Affirmation of Statement Compliance of Incomplete Vehicles or Engines, code EC04, must be provided for Incomplete Vehicles or Engines.</p> <p>Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.</p>	22	EC01 EC02 EC03 EC04

B3.6 Vehicle and Engine Emissions Program – Off-Road Mobile and Stationary Compression (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines

B3.6.1 Data Element Rules/Conditions

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG8 CTA (Q) 3139 (E) 3412	Importer Contact Name	Importer Contact Name	M	The name of a person knowledgeable about the specific shipment of goods must be provided.	IC	
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (phone)	Importer's Telephone Number	M	The telephone number of a person knowledgeable about the specific shipment of goods must be provided.	TE	
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (email)	Importer's E-mail Address	M	The e-mail address of a person knowledgeable about the specific shipment of goods must be provided.	EM	
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (fax)	Importer's Fax Number	O	The fax number of a person knowledgeable about the specific shipment of goods.	FX	
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (declaration)	Exception Process	C	<p>The following code must be provided for engines to which this program's regulations apply:</p> <p>ECCC–Off-Road Mobile and Stationary Compression-Ignition (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines (XE02).</p> <p>Companies importing for their own use (non-commercial imports) must provide code XE2N. Note: Importers are permitted up to 9 non-commercial imports during the calendar year under the <i>Off-road Compression-Ignition (Mobile and Stationary) and Large Spark-Ignition Engine Emission Regulations</i>.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS) for each regulated commodity line.</p>	22	XE02 XE2N XE06 XE99

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes								
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Engine Manufacturer Name	M	The Name of the engine manufacturer must be provided.	135	ENG500								
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Engine Power Rating	O	The qualifier code for Engine Power Rating can be provided in element 7009 with the value and unit of measure of KW or HP in element 7008.	135	ENG504								
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Make of engine	M	The make of the engine must be provided Make is the brand of the engine, this may be the same as the manufacturer or a different brand..	135	ENG501								
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (brand Name)	Make of machine	C	If the coded class of the engine is one of the following, the make of machine must be provided: <table border="1" data-bbox="907 701 1444 886"> <thead> <tr> <th>Engine Class</th> <th>Code</th> </tr> </thead> <tbody> <tr> <td>Off-Road Mobile Compression-ignition – Installed</td> <td>EC16</td> </tr> <tr> <td>Off-Road Stationary Compression-ignition – Installed</td> <td>EC0B</td> </tr> <tr> <td>Off-Road Mobile Large Spark-ignition – Installed</td> <td>EC0D</td> </tr> </tbody> </table>	Engine Class	Code	Off-Road Mobile Compression-ignition – Installed	EC16	Off-Road Stationary Compression-ignition – Installed	EC0B	Off-Road Mobile Large Spark-ignition – Installed	EC0D	223	
Engine Class	Code													
Off-Road Mobile Compression-ignition – Installed	EC16													
Off-Road Stationary Compression-ignition – Installed	EC0B													
Off-Road Mobile Large Spark-ignition – Installed	EC0D													
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Model of engine	M	The model name of the engine must be provided. Model is the commercial name given by the manufacturer to identify the product.	135	ENG502								
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (model name)	Model of machine	C	If the coded class of the engine is one of the following, the model name of the machine must be provided: <table border="1" data-bbox="898 1117 1453 1302"> <thead> <tr> <th>Engine Class</th> <th>Code</th> </tr> </thead> <tbody> <tr> <td>Off-Road Mobile Compression-ignition – Installed</td> <td>EC16</td> </tr> <tr> <td>Off-Road Stationary Compression-ignition – Installed</td> <td>EC0B</td> </tr> <tr> <td>Off-Road Mobile Large Spark-ignition – Installed</td> <td>EC0D</td> </tr> </tbody> </table>	Engine Class	Code	Off-Road Mobile Compression-ignition – Installed	EC16	Off-Road Stationary Compression-ignition – Installed	EC0B	Off-Road Mobile Large Spark-ignition – Installed	EC0D	221	
Engine Class	Code													
Off-Road Mobile Compression-ignition – Installed	EC16													
Off-Road Stationary Compression-ignition – Installed	EC0B													
Off-Road Mobile Large Spark-ignition – Installed	EC0D													
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Model Year of Engine	M	The model year of the engine must be provided in CCYY format.	135	ENG503								

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (year of production)	Model Year of Machine	O	The model year of the machine can be provided in CCYY format.	228	
SG117 GIR (Q) 7405 (E) 7402	Commodity Identity Code (engine identification number)	Engine Identification Number	M	The engine identification number must be provided.	EE	
SG117 GIR (Q) 7405 (E) 7402	Commodity Identity Code (authority registered number)	Affirmation of Statement Authorization	C	If the coded affirmation of statement conformity is "The importer is authorized to apply the national emissions mark to the engine and will apply it after importation at the location specified in their application under section 26" (ME04), the authorization number assigned to the importer by the Minister (Environment and Climate Change Canada) must be provided.	CW	
SG117 GIN (Q) 7402, 2 (E) 7402, 3	Commodity Identifier (engine family name)	Name of the engine Family	M	<p>The name of the engine family must be provided.</p> <p>"Family Name" means a 12 digit alphanumeric code that a manufacturer uses for engines when applying for certification with the U.S. Environmental Protection Agency. It includes information about the model year, the manufacturer (unique identifier), the sector, the engine displacement and the family itself (manufacturer's self-designated identifier). This code can be found on the emission control information label affixed to the engine or on the EPA Certificate of Conformity. A similar code also exists for engines that are offered for sale in Canada but not in the United States (e.g. not EPA certified).</p> <p>An engine with a complete fuel system may have multiple families for exhaust and evaporative certification requirements. All pertinent family names must be reported.</p>	EFN	
SG117 GIN (Q) 7402, 2 (E) 7402, 3	Commodity Identifier (evaporative family name)	Evaporative Family	C	<p>If the coded class of engines is one of the following, the evaporative family must be provided (if applicable):</p> <ul style="list-style-type: none"> • Off-Road Mobile Large Spark-ignition – Loose • Off-Road Mobile Large Spark-ignition – Installed <p>Evaporative Family Name" is an alphanumeric code that a manufacturer uses for vehicles or engines when applying for certification with the U.S. Environmental Protection Agency. It includes information about the model year, the manufacturer (unique identifier), the sector, the evaporative family type and the family itself (manufacturer's self-designated identifier). This code can be found on the emission control information label affixed to the vehicle or engine. A similar code also exists for vehicles or engines that are offered for sale in Canada but not in the United States (e.g. not EPA certified).</p> <p>An engine with a complete fuel system may have multiple families for exhaust and evaporative certification requirements. All pertinent family names must be reported.</p>	EVN	

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Engine Class	M	<p>The coded class of the engine must be provided, as applicable.</p> <ul style="list-style-type: none"> • Off-Road Mobile Compression-ignition – Loose • Off-Road Mobile Compression-ignition – Installed • Off-Road Stationary Compression-ignition – Loose • Off-Road Stationary Compression-ignition – Installed • Off-Road Mobile Large Spark-ignition – Loose • Off-Road Mobile Large Spark-ignition – Installed <p>For additional information on engine classes, please refer to the <i>Off-road Compression-Ignition (Mobile and Stationary) and Large Spark-Ignition Engine Emission Regulations</i>.</p>	EC02	EC15 EC16 EC0A EC0B EC0C EC0D

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes																												
SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Alternative Standard of Engine	C	<p>If the coded affirmation of statement conformity is the following (ME02),</p> <ul style="list-style-type: none"> • The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> ○ an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. ○ a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. <p>And, the coded class of the engine is one of the following:</p> <table border="1" data-bbox="900 544 1446 695"> <thead> <tr> <th>Engine Class name</th> <th>Code</th> </tr> </thead> <tbody> <tr> <td>Off-Road Mobile Compression-ignition – Loose</td> <td>EC15</td> </tr> <tr> <td>Off-Road Mobile Compression-ignition – Installed</td> <td>EC16</td> </tr> <tr> <td>Off-Road Stationary Compression-ignition – Loose</td> <td>EC0A</td> </tr> <tr> <td>Off-Road Stationary Compression-ignition – Installed</td> <td>EC0B</td> </tr> </tbody> </table> <p>Then, one of the following alternative emission standard conformity statements must be provided, as appropriate for this commodity.</p> <table border="1" data-bbox="829 792 1493 1372"> <thead> <tr> <th>Alternative Standard Conformity Statement</th> <th>Code</th> </tr> </thead> <tbody> <tr> <td>Compression-ignition engine that powers an emergency machine.</td> <td>EC0E</td> </tr> <tr> <td>Compression-ignition engine that is or will be installed in a machine to be used only in a hazardous location where the maximum permissible surface temperature for the machine is 200°C or less.</td> <td>EC0F</td> </tr> <tr> <td>Mobile compression-ignition engine that is to be used exclusively to provide a primary source of electrical power in a remote location.</td> <td>EC0G</td> </tr> <tr> <td>Mobile compression-ignition engine that is to be used exclusively at a North Warning System site established by the North American Aerospace Defense Command.</td> <td>EC0H</td> </tr> <tr> <td>Mobile compression-ignition engine that is used in a transportation refrigeration unit.</td> <td>EC0I</td> </tr> <tr> <td>Stationary compression-ignition engine that is intended to provide electrical power or mechanical work during a power outage.</td> <td>EC0J</td> </tr> <tr> <td>Stationary compression-ignition engine that is a fire-pump engine.</td> <td>EC0K</td> </tr> <tr> <td>Stationary compression-ignition engine that is to be used in a remote location.</td> <td>EC0L</td> </tr> </tbody> </table>	Engine Class name	Code	Off-Road Mobile Compression-ignition – Loose	EC15	Off-Road Mobile Compression-ignition – Installed	EC16	Off-Road Stationary Compression-ignition – Loose	EC0A	Off-Road Stationary Compression-ignition – Installed	EC0B	Alternative Standard Conformity Statement	Code	Compression-ignition engine that powers an emergency machine.	EC0E	Compression-ignition engine that is or will be installed in a machine to be used only in a hazardous location where the maximum permissible surface temperature for the machine is 200°C or less.	EC0F	Mobile compression-ignition engine that is to be used exclusively to provide a primary source of electrical power in a remote location.	EC0G	Mobile compression-ignition engine that is to be used exclusively at a North Warning System site established by the North American Aerospace Defense Command.	EC0H	Mobile compression-ignition engine that is used in a transportation refrigeration unit.	EC0I	Stationary compression-ignition engine that is intended to provide electrical power or mechanical work during a power outage.	EC0J	Stationary compression-ignition engine that is a fire-pump engine.	EC0K	Stationary compression-ignition engine that is to be used in a remote location.	EC0L	EC04	EC0E EC0F EC0G EC0H EC0I EC0J EC0K EC0L
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IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes																												
SG117 CNT (Q) 6411 (E) 6066	Commodity Unit Count	Commodity Unit Count	M	<p>The number of items being imported must be provided using the unit count "each" (EA) or "number" (NMB).</p> <p>Mandatory for all Vehicle and Engine Emissions Program Exception Process Codes.</p> <p>Note: Once non-commercial importers and companies importing for their own use (i.e. not for the purpose of sale) have surpassed the exempted number of units within the calendar year, all data elements within this appendix become mandatory.</p>	EA NMB																													
SG119 NAD (Q) 3035 (E) 3036	Manufacturer (name)	Machine Manufacturer Name	C	<p>If the coded class of the engine is one of the following, the name of the manufacturer of the machine must be provided if not provided at SG102 or if different than the Manufacturer Name provided at SG102:</p> <table border="1" data-bbox="898 548 1451 704"> <thead> <tr> <th>Engine Class</th> <th>Code</th> </tr> </thead> <tbody> <tr> <td>Off-Road Mobile Compression-ignition – Installed</td> <td>EC16</td> </tr> <tr> <td>Off-Road Stationary Compression-ignition – Installed</td> <td>EC0B</td> </tr> <tr> <td>Off-Road Mobile Large Spark-ignition – Installed</td> <td>EC0D</td> </tr> </tbody> </table>	Engine Class	Code	Off-Road Mobile Compression-ignition – Installed	EC16	Off-Road Stationary Compression-ignition – Installed	EC0B	Off-Road Mobile Large Spark-ignition – Installed	EC0D	MF																					
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SG119 NAD (Q) 3035 (see sub table)	Authorized Importer	Affirmation of Statement Engine Location	C	<p>If the coded affirmation of statement conformity is "The importer is authorized to apply the national emissions mark to the engine and will apply it after importation at the location specified in their application under section 26" (ME04), or if the coded affirmation of statement replacement is "The engine is a replacement and the engine it will replace will only be identified after importation"(ME06), the name and address must be provided of the location where the engine will be kept until</p> <ul style="list-style-type: none"> a) the national emissions mark is applied to it or, b) the evidence of conformity (replacement engine) is submitted to Environment and Climate Change Canada. <p>By providing this information, the importer attests that they have a description of the measures documented to ensure that the engine does not leave the importer's possession or control before the applicable aforementioned condition is met.</p> <table border="1" data-bbox="848 1076 1503 1300"> <thead> <tr> <th>Field</th> <th>Location</th> <th>Format</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>Name</td> <td>C080.3036.4</td> <td>an..70</td> <td>Business/personal name Up to 3 lines</td> </tr> <tr> <td>Street</td> <td>C059.3042.5</td> <td>an..35</td> <td>Up to 3 lines</td> </tr> <tr> <td>City</td> <td>3164.6</td> <td>an..35</td> <td></td> </tr> <tr> <td>Province</td> <td>C819.3229.9</td> <td>an..6</td> <td>Use 2 character codes</td> </tr> <tr> <td>Postal Code</td> <td>3251.8</td> <td>an..9</td> <td></td> </tr> <tr> <td>Country Code</td> <td>3207.9</td> <td>an..2</td> <td>ISO 3166 2 character code</td> </tr> </tbody> </table>	Field	Location	Format	Description	Name	C080.3036.4	an..70	Business/personal name Up to 3 lines	Street	C059.3042.5	an..35	Up to 3 lines	City	3164.6	an..35		Province	C819.3229.9	an..6	Use 2 character codes	Postal Code	3251.8	an..9		Country Code	3207.9	an..2	ISO 3166 2 character code	AT	
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IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes																												
SG119 NAD (Q) 3035 (see sub table)	Authorized Importer	Affirmation of Statement Evidence Location	C	<p>If the coded affirmation of statement retention is “the required evidence of conformity is retained at a place of business of the importer other than what is provided SG7.NAD (i.e. the importer address data element fields)” (ME10), the name and address where evidence of conformity is retained must be provided.</p> <p>If the coded affirmation of statement retention is “The required evidence of conformity is retained at a location other than a place of business of the importer” (ME11), the name and address where evidence of conformity is retained must be provided.</p> <table border="1" data-bbox="848 472 1501 695"> <thead> <tr> <th>Field</th> <th>Location</th> <th>Format</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>Name</td> <td>C080.3036.4</td> <td>an..70</td> <td>Business/personal name Up to 3 lines</td> </tr> <tr> <td>Street</td> <td>C059.3042.5</td> <td>an..35</td> <td>Up to 3 lines</td> </tr> <tr> <td>City</td> <td>3164.6</td> <td>an..35</td> <td></td> </tr> <tr> <td>Province</td> <td>C819.3229.9</td> <td>an..6</td> <td>Use 2 character codes</td> </tr> <tr> <td>Postal Code</td> <td>3251.8</td> <td>an..9</td> <td></td> </tr> <tr> <td>Country Code</td> <td>3207.9</td> <td>an..2</td> <td>ISO 3166 2 character code</td> </tr> </tbody> </table>	Field	Location	Format	Description	Name	C080.3036.4	an..70	Business/personal name Up to 3 lines	Street	C059.3042.5	an..35	Up to 3 lines	City	3164.6	an..35		Province	C819.3229.9	an..6	Use 2 character codes	Postal Code	3251.8	an..9		Country Code	3207.9	an..2	ISO 3166 2 character code	AT	
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SG120 CTA (Q) 3139 (E) 3412	Authorized Importer Contact Type	Evidence of Conformity Contact Name	C	If the coded affirmation of statement retention is “The required evidence of conformity is retained at a location other than a place of business of the importer” (ME11), the name of a contact person at that location must be provided.	IC																													
SG120 COM (Q) 3155 (E) 3148	Authorized Importer Contact Method (phone)	Evidence of Conformity Contact Telephone Number	C	If the coded affirmation of statement retention is “The required evidence of conformity is retained at a location other than a place of business of the importer” (ME11), the telephone number of a contact person at that location must be provided.	TE																													

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (commodity level)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:</p> <p>ECCC–Off-Road Mobile and Stationary Compression-Ignition (Diesel) and Mobile Large Spark-Ignition (Gasoline) Engines (XE02).</p> <p>Companies importing for their own use (non-commercial imports) must provide code XE2N. Note: Importers are permitted up to 9 non-commercial imports during the calendar year under the <i>Off-road Compression-Ignition (Mobile and Stationary) and Large Spark-Ignition Engine Emission Regulations</i>.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS) for each regulated commodity line. If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, this code may be provided at the declaration level (SG13.RCS).</p>	22	XE02 XE2N XE06 XE99
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Conformity	M	<p>One of the following conformity statements must be provided as appropriate for this commodity.</p> <ul style="list-style-type: none"> • The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> ○ an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. ○ a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. • The engine will have its manufacture completed in Canada by another means in accordance with the instructions provided by the manufacturer and will when completed conform with the requirements prescribed under the Regulations. • The importer is authorized to apply the national emissions mark to the engine and will apply it after importation at the location specified in their application under section 26. 	22	ME02 ME03 ME04

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Compliance	C	<p>If the coded affirmation of statement conformity is the following (ME02),</p> <ul style="list-style-type: none"> • The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> ○ an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. ○ a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. <p>Then, one of the following compliance statements must be provided, as appropriate for this commodity.</p> <ul style="list-style-type: none"> • National Emissions Mark • EPA Certified and Sold Concurrently in Canada and the U.S. • Transition and Sold Concurrently in Canada and the U.S.. • Canada Unique Engines • Replacement Engines <p>Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.</p>	22	EC05 EC06 EC07 EC08 ME01
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Replacement	C	<p>If the coded affirmation of statement compliance is "Replacement Engines" (ME01), one of the following replacement engine statements must be provided, as appropriate for this commodity.</p> <ul style="list-style-type: none"> • The engine is a replacement and the engine it will replace has been identified. • The engine is a replacement and the engine it will replace will only be identified after importation. 	22	ME05 ME06
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Evidence	C	<p>If the coded affirmation of statement conformity is the following (ME02),</p> <ul style="list-style-type: none"> • The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> ○ an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. ○ a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. <p>Then, one of the following evidence statements must be provided as appropriate for this commodity:</p> <ul style="list-style-type: none"> • Importer is able to provide the required evidence of conformity. • Importer has provided the required evidence of conformity to Environment and Climate Change Canada. <p>Note: If the Affirmation of Statement Conformity is ME02, this element is considered mandatory unless the coded affirmation of statement compliance is "Replacement Engines" (ME01) and the coded affirmation of statement replacement is "The engine is a replacement and the engine it will replace will only be identified after importation" (ME06).</p>	22	ME07 ME08

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Retention	C	<p>If the coded affirmation of statement conformity is the following (ME02),</p> <ul style="list-style-type: none"> • The engine will have its manufacture completed prior to importation into Canada or the manufacture completed in Canada will be limited to either the addition of <ul style="list-style-type: none"> ○ an emission control system for exhaust emissions, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. ○ a complete fuel system, or part of such a system, in a manner that conforms to the certificate and the certificate holder's installation instructions. <p>Then, one of the following retention statements must be provided, as appropriate for this commodity:</p> <ul style="list-style-type: none"> • The required evidence of conformity is retained at the place of business of the importer provided in SG7.NAD (i.e. the importer address data element fields). • The required evidence of conformity is retained at a place of business of the importer other than what is provided in SG7.NAD (i.e. the importer address data element fields). • The required evidence of conformity is retained at a location other than a place of business of the importer. <p>Note: If the Affirmation of Statement Conformity is ME02, this element is considered mandatory unless the coded affirmation of statement compliance is "Replacement Engines" (ME01) and the coded affirmation of statement replacement is "The engine is a replacement and the engine it will replace will only be identified after importation" (ME06).</p>	22	ME09 ME10 ME11

B3.7 Vehicle and Engine Emissions Program – Off-Road Small Spark-Ignition (Gasoline) Engines

B3.7.1 Data Element Rules/Conditions

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG8 CTA (Q) 3139 (E) 3412	Importer Contact Name	Importer Contact Name	M	The name of a person knowledgeable about the specific shipment of goods must be provided.	IC	
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (phone)	Importer's Telephone Number	M	The telephone number of a person knowledgeable about the specific shipment of goods must be provided.	TE	
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (email)	Importer's E-mail Address	M	The e-mail address of a person knowledgeable about the specific shipment of goods must be provided.	EM	

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (fax)	Importer's Fax Number	O	The fax number of a person knowledgeable about the specific shipment of goods.	FX	
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (declaration)	Exception Process	C	<p>The following code must be provided for engines to which this program's regulations apply:</p> <p>EC – Off-Road Small Spark-Ignition (Gasoline) Engines (XE03).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE3N. Note: Importers can import an unlimited number of non-commercial engine imports during a calendar year. However, importers that import 50 (or more) are required to provide an import declaration and can no longer utilize this exemption.</p> <p>For the Off-road Small Spark-ignition (Gasoline) Engines Program only, if the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client will report their imports to ECCC on or before February 1st of the calendar year following the calendar year during which the importation occurred, they must provide code XE07 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS) for each regulated commodity line.</p>	22	XE03 XE3N XE07 XE99
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Engine Manufacturer Name	M	The Name of the engine manufacturer must be provided.	135	ENG500
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Engine Power Rating	O	The qualifier code for Engine Power Rating can be provided in element 7009 with the value and unit of measure of KW or HP in element 7008 .	135	ENG504
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Make of engine	M	The make of the engine must be provided.	135	ENG501

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Model of engine	M	The model name of the engine must be provided.	135	ENG502
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Model Year of Engine	M	The model year of the engine must be provided in CCYY format.	135	ENG503
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (brand name)	Make of machine	C	The make of machine must be provided if the coded class of the engine is Off-Road Spark ignition – Installed.	223	
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (model name)	Model of machine	C	The model of the machine must be provided if the coded class of the engine is Off-Road Spark ignition - Installed.	221	
SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Engine Class	M	<p>The coded class of the engine must be provided, as applicable.</p> <ul style="list-style-type: none"> • Off-Road Spark-ignition – Loose • Off-Road Spark-ignition – Installed • Off-Road Spark-ignition – Replacement <p>For additional information on engine classes, please refer to the <i>Off-Road Small Spark-Ignition Engine Emission Regulations</i>.</p>	EC02	EC22 EC23 EC24
SG117 GIR (Q) 7405 (E) 7402	Commodity Identity Code (engine Identification number)	Engine Identification Number	O	The engine identification number can be provided.	EE	
SG117 GIN (Q) 7402, 2 (E) 7402, 3	Commodity Identifier (engine family name)	Name of the engine Family	M	<p>The name of the engine family must be provided.</p> <p>“Engine Family Name” is an alphanumeric code that a manufacturer uses for engines when applying for certification with the U.S. Environmental Protection Agency. It includes information about the model year, the manufacturer (unique identifier), the sector, the engine displacement and the family itself (manufacturer’s self-designated identifier). This code can be found on the emission control information label affixed to the engine. A similar code also exists for engines that are offered for sale in Canada but not in the United States (e.g. not EPA certified).</p>	EFN	

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG117 CNT (Q) 6411 (E) 6066	Commodity Unit Count	Commodity Unit Count	M	<p>The number of items being imported must be provided using the unit count "each" (EA) or "number" (NMB).</p> <p>Mandatory for all Vehicle and Engine Emissions Program Exception Process Codes.</p> <p>Note: Once non-commercial importers and companies importing for their own use (i.e. not for the purpose of sale) have surpassed the exempted number of units within the calendar year, all data elements within this appendix become mandatory.</p>	EA NMB	
SG119 NAD (Q) 3035 (E) 3036	Manufacturer (name)	Manufacturer Name	C	The name of the Manufacturer of the Machine must be provided if not provided at SG102 or if different than the Manufacturer name at SG102.	MF	
SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (commodity level)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:</p> <p>ECCC – Off-Road Small Spark-Ignition (Gasoline) Engines (XE03).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE3N. Note: Importers can import an unlimited number of non-commercial engine imports during a calendar year. However, importers that import 50 (or more) are required to provide an import declaration and can no longer utilize this exemption.</p> <p>For the Off-road Small Spark-ignition (Gasoline) Engines Program only, if the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client will report their imports to ECCC on or before February 1st of the calendar year following the calendar year during which the importation occurred, they must provide code XE07 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS) for each regulated commodity line. If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, this code may be provided at the declaration level (SG13.RCS).</p>	22	XE03 XE3N XE07 XE99

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Affirmation of Statement Compliance	M	<p>At least one of the following compliance statements must be provided, as appropriate for this commodity.</p> <ul style="list-style-type: none"> • National Emissions Mark • EPA Certified and Sold Concurrently in Canada and the U.S. • Canada Unique Engines • Incomplete Engines <p>The Affirmation of Statement Compliance of Incomplete Engines, code EC12, must be provided for Incomplete Engines.</p> <p>Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.</p>	22	EC05 EC10 EC11 EC12

B3.8 Vehicle and Engine Emissions Program – Marine Spark-Ignition (Gasoline) Engines, Vessels and Off-Road Recreational Vehicles

B3.8.1 Data Element Rules/Conditions

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG8 CTA (Q) 3139 (E) 3412	Importer Contact Name	Importer Contact Name	M	The name of a person knowledgeable about the specific shipment of goods must be provided.	IC	
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (phone)	Importer's Telephone Number	M	The telephone number of a person knowledgeable about the specific shipment of goods must be provided.	TE	
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (email)	Importer's E-mail Address	M	The e-mail address of a person knowledgeable about the specific shipment of goods must be provided.	EM	
SG8 COM (Q) 3155 (E) 3148	Importer Contact Method (fax)	Importer's Fax Number	O	The fax number of a person knowledgeable about the specific shipment of goods.	FX	
SG13 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (declaration)	Exception Process	C	<p>The following code must be provided for vehicles and engines to which this program's regulations apply:</p> <p>EC - Marine Spark-Ignition Engines, Vessels and Off-Road Recreational Vehicles (XE04).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE4N. Note: Importers are permitted up to 10 non-commercial of any combination of engines, vessels and vehicle imports, without providing an import declaration, during the calendar year under the <i>Marine Spark-Ignition Engine, Vessel and Off-road Recreational Vehicle Emission Regulations</i>.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>Only one Vehicle and Emissions Program exception code is permitted at the declaration level. If multiple exception process codes apply to the IID, they must be reported at the commodity level (SG125.RCS) for each regulated commodity line.</p>	22	XE04 XE4N XE06 XE99

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Engine Manufacturer Name	C	<p>The Name of the engine manufacturer must be provided, if the coded class of the engine is:</p> <ul style="list-style-type: none"> • Inboard Engine • Outboard Engine • Personal Watercraft Engine <p>Note: For an engine installed in/on a marine vessel, the vessel information must be provided separately.</p>	135	ENG500
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (brand name)	Make of vehicle	C	<p>The make of the vehicle must be provided if the coded class of the vehicle is:</p> <ul style="list-style-type: none"> • Vessel with installed marine engine • Vessel without marine engine • Snowmobile • All-terrain vehicle • Utility vehicle • Off-Road Motorcycle <p>Note: For vessels with installed marine engines, the engine information must be provided separately.</p>	223	
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Make of engine	C	<p>The make of engine must be provided if the coded class of the engine is:</p> <ul style="list-style-type: none"> • Inboard Engine • Outboard Engine • Personal Watercraft Engine <p>Note: For an engine installed in/on a marine vessel, the vehicle/vessel information must be provided separately.</p>	135	ENG501
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (model name)	Model of vehicle	C	<p>The model name of the vehicle must be provided if the coded class of the vehicle is:</p> <ul style="list-style-type: none"> • Vessel with installed marine engine • Vessel without marine engine • Snowmobile • All-terrain vehicle • Utility vehicle • Off-Road motorcycle <p>Note: For vessels with installed marine engines, the engine information must be provided separately.</p>	221	
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Model of engine	C	<p>The model of the engine must be provided if the coded class of the engine is:</p> <ul style="list-style-type: none"> • Inboard Engine • Outboard Engine • Personal Watercraft Engine <p>Note: For an engine installed in/on a marine vessel, the vehicle/vessel information must be provided separately.</p>	135	ENG502

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG117 IMD (Q) 7081 (E) 7008	Item Characteristic (year of production)	Model Year of vehicle	C	<p>The model year of the vehicle must be provided in CCYY format if the coded class of the vehicle is:</p> <ul style="list-style-type: none"> • Vessel with installed with marine engine • Vessel without marine engine • Snowmobile • All-terrain vehicle • Utility vehicle • Off-Road Motorcycle <p>Note: For vessels with installed marine engines, the engine information must be provided separately.</p>	228	
SG117 IMD (Q) 7081 (Q) 7009 (E) 7008	Item Characteristic (engine)	Model Year of engine	C	<p>The model year of the engine must be provided in CCYY format if the coded class of the engine is:</p> <ul style="list-style-type: none"> • Inboard Engine • Outboard Engine • Personal Watercraft Engine <p>Note: For an engine installed in/on a marine vessel, the vehicle/vessel information must be provided separately.</p>	135	ENG503
SG117 GIR (Q) 7405 (E) 7402	Commodity Identity Code (VIN)	Vehicle Identification Number (VIN)	O	<p>The 17 digit VIN can be provided</p> <p>Note: This field should only be used to supply a valid VIN. Serial Numbers, Hull Identification Numbers (HIN), etc., should not be provided in this field.</p>	VV	
SG117 GIR (Q) 7405 (E) 7402	Commodity Identity Code (engine identification number)	Engine Identification Number	O	<p>The engine identification number can be provided.</p>	EE	
SG117 GIN (Q) 7402, 2 (E) 7402, 3	Commodity Identifier (engine family name)	Name of the engine Family	O	<p>The engine Family can be provided.</p> <p>“Engine Family Name” is an alphanumeric code that a manufacturer uses for engines when applying for certification with the U.S. Environmental Protection Agency. It includes information about the model year, the manufacturer (unique identifier), the sector, the engine displacement and the family itself (manufacturer’s self-designated identifier). This code can be found on the emission control information label affixed to the engine. A similar code also exists for engines that are offered for sale in Canada but not in the United States (e.g. not EPA certified).</p>	EFN	

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG117 GIN (Q) 7402, 2 (E) 7402, 3	Commodity Identifier (evaporative family name)	Evaporative Family	O	<p>The Evaporative Family can be provided.</p> <p>"Evaporative Family Name" is an alphanumeric code that a manufacturer uses for vehicles or engines when applying for certification with the U.S. Environmental Protection Agency. It includes information about the model year, the manufacturer (unique identifier), the sector, the evaporative family type and the family itself (manufacturer's self-designated identifier). This code can be found on the emission control information label affixed to the vehicle or engine. A similar code also exists for vehicles or engines that are offered for sale in Canada but not in the United States (e.g. not EPA certified).</p>	EVN	
SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Vehicle Class	C	<p>The coded class of the vehicle must be provided, if applicable.</p> <ul style="list-style-type: none"> • Vessel with installed marine engine • Vessel without marine engine • Snowmobile • All-terrain vehicle • Utility vehicle • Off-Road Motorcycle <p>Note: For vessels with installed marine engines, the engine information must be provided separately.</p> <p>For additional information on vehicles classes, please refer to <i>the Marine Spark-Ignition Engine, Vessel and Off-Road Recreational Vehicle Emission Regulations</i>.</p>	EC01	EC25 EC26 EC27 EC28 EC29 EC31
SG117 PGI (Q) 5389 (E) 5388	Canadian Product Category	Engine Class	C	<p>The coded class of the engine must be provided, if applicable.</p> <ul style="list-style-type: none"> • Inboard Engine • Outboard Engine • Personal Watercraft Engine <p>Note: For an engine installed in/on a marine vessel, the vehicle/vessel information must be provided separately.</p> <p>For additional information on engine classes, please refer to the <i>Marine Spark-Ignition Engine, Vessel and Off-Road Recreational Vehicle Emission Regulations</i>.</p>	EC02	EC44 EC45 EC46
SG117 CNT (Q) 6411 (E) 6066	Commodity Unit Count	Commodity Unit Count	M	<p>The number of items being imported must be provided using the unit count "each" (EA) or "number" (NMB).</p> <p>Mandatory for all Vehicle and Engine Emissions Program Exception Process Codes.</p> <p>Note: Once non-commercial importers and companies importing for their own use (i.e. not for the purpose of sale) have surpassed the exempted number of units within the calendar year, all data elements within this appendix become mandatory.</p>	EA NMB	

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG119 NAD (Q) 3035 (E) 3036	Manufacturer (name)	Vehicle Manufacturer Name	C	<p>If the coded class of the vehicle is one of the following, the name of the vehicle manufacturer must be provided if not provided at SG102 or if different than the Manufacturer Name provided at SG102:</p> <ul style="list-style-type: none"> • Vessel with installed marine engine • Vessel without marine engine • Snowmobile • All-terrain vehicle • Utility vehicle • Off-Road Motorcycle <p>Note: For vessels with installed marine engines, the engine information must be provided separately.</p>	MF	
SG125 RCS (Q) 3055 (E) 7295	PGA Exception Process Code (commodity level)	Exception Process	C	<p>The following code must be provided for vehicles, engines and equipment to which this program's regulations apply:</p> <p>ECCC - Marine Spark-Ignition Engines, Vessels and Off-Road Recreational Vehicles (XE04).</p> <p>Non-commercial imports and companies importing for their own use (i.e. not for the purpose of sale) must provide code XE4N. Note: Importers are permitted up to 10 non-commercial of any combination of engines, vessels and vehicle imports, without providing an import declaration, during the calendar year under the <i>Marine Spark-Ignition Engine, Vessel and Off-road Recreational Vehicle Emission Regulations</i>.</p> <p>If the goods are subject to the Vehicle and Engine Emissions Program regulations, but the client has bulk reporting approval from ECCC, they must provide code XE06 in this field.</p> <p>If the goods are not subject to any of the Vehicle and Engine Emissions Program regulations, clients must provide code XE99 in this field.</p> <p>If multiple exception process codes apply to the IID, they must be reported at the commodity line level (SG125.RCS) for each regulated commodity line. If only one exception process code is applicable to the entire declaration for the Vehicle and Emissions Program, this code may be provided at the declaration level (SG13.RCS).</p>	22	XE04 XE4N XE06 XE99

IID Segment & Tags	Data Element Name	PGA Element Definition	Data Element Status	Data Element Rules & Conditions	Qualifier	Codes
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Criteria Conformance (vessel/vehicle)	C	<p>One of the following compliance statements must be provided for the vessel or vehicle, as appropriate for this commodity.</p> <ul style="list-style-type: none"> • National Emissions Mark (Vessels/Vehicles) • EPA Certified, Sold Concurrently in Canada and the U.S., and bears emission control information label (Vehicles/Vessels) • Canada Unique Vehicles/Vessels • Incomplete Vehicles/Vessels <p>Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.</p>	22	EC13 EC14 EC15 EC16
SG125 RCS (Q) 3055 (E) 7295	PGA Compliance Statement Code	Criteria Conformance (engine)	C	<p>One of the following compliance statements must be provided for the engine, as appropriate for this commodity.</p> <ul style="list-style-type: none"> • National Emissions Mark (Engines) • EPA Certified, Sold Concurrently in Canada and the U.S., and bears emission control information label (Engines) • Canada Unique Engines • Incomplete Engines <p>Please refer to Appendix G23, PGA Compliance Statement, for descriptions of the codes.</p>	22	EC19 EC20 EC21 EC22